

<b>FORMAT</b>		
1. Name of resource	<b>Why corruption matters: understanding causes, effects and how to address them</b>	
2. Location	<a href="https://www.gov.uk/government/publications/why-corruption-matters-understanding-causes-effects-and-how-to-address-them">https://www.gov.uk/government/publications/why-corruption-matters-understanding-causes-effects-and-how-to-address-them</a>	
3. Alternative location		
4. Author[s]	A. R. Menocal and N. Taxell	
5. Publisher/producer/host	UK Department for International Development	
6. Year	2015	
7. Suggested citation	Menocal, A. R. and N. Taxell (2015). Why corruption matters: understanding causes, effects and how to address them. DFID, available at <a href="https://www.gov.uk/government/publications/why-corruption-matters-understanding-causes-effects-and-how-to-address-them">https://www.gov.uk/government/publications/why-corruption-matters-understanding-causes-effects-and-how-to-address-them</a>	
8. Languages in which available	English	
9. Geographic area resource relates to	UK but with global relevance (subject to national legal frameworks)	
10. Does the resource relate to a specific time frame?	No	
<b>11. Type</b>	Report	Yes
	Toolkit/Framework/Roadmap	
	Sign-post to other resource (database)	
	Case studies	
	Other	
12. If this is part of an initiative, what is the initiative?		
<b>COLLECTIONS AND COLLECTIONS-BASED INSTITUTIONS</b>		
13. Explicit links to collections	No	
14. Explicit links to museums/libraries/archives	No	
15. Types of institutions the resource covers	Museums	X
	Archives	X
	Libraries	X
	Other	X
16. Types of collections/disciplin	Arts, humanities and social sciences: philosophy,	X

<p>es the resource covers</p>	<p>psychology, religion, social sciences, law, politics, language, arts and recreation, architecture, literature, history, geography and ethnology, anthropology, archaeology</p>	
	<p>Science, natural history, technology, medicine, engineering, manufacturing</p>	
<p>17. If no explicit links to collections, justification for inclusion</p>	<p>The resource can support collecting institutions to develop effective education and awareness programmes and management principles to understand and combat corruption, within organisations, communities and countries.</p>	
<p><b>HOW IT CONTRIBUTES TO SUSTAINABLE DEVELOPMENT</b></p>		
<p><b>18. Collections-related activities the resource relates to (mark all that apply)</b></p>		
<p><b>Develop collections to protect and safeguard wider cultural and natural heritage more effectively</b>, for example by targeting collecting to threatened forms of heritage in strategic ways</p>		
<p><b>Use collections to promote learning and educational opportunities that contribute to sustainable development more effectively</b>, for example education for sustainable development and sustainable lifestyles, human rights, gender equality, promotion of a culture of peace and non-violence, global citizenship and appreciation of cultural diversity and of culture's contribution to sustainable development and/or skills development relating to collections</p>	<p>X</p>	
<p><b>Use collections to promote cultural participation/social inclusion more effectively</b>, for example by reducing barriers to participation, to ensure no-one is 'left behind'</p>		
<p><b>Use collections to promote sustainable tourism more effectively</b>, for example by developing new products based on local cultural heritage, and/or considering the rights of stakeholder groups in relation to collections</p>		
<p><b>Use collections to support research that contributes to sustainable development</b> (including all forms of personal and self-directed research at all levels that make use of stored collections) more effectively, for example by providing effective facilities, collections and information to meet researchers' needs</p>		
<p>Make decisions around collections that contribute to sustainable development more effectively</p>		
<p>i. employment (recruiting, staff training, staff safety)</p>		
<p>ii. energy consumption, greenhouse gas emissions, reduction, monitoring and reporting</p>		
<p>iii. waste management and reduction of waste</p>		
<p>iv. transport (forms of transport, energy use)</p>		

v. commercial activities including copyright and IP	
vi. governance and management	X
vii. security, disaster preparedness and risk reduction	X
<b>Direct external leadership, partnerships and collaborations towards sustainable development more effectively</b> , for example by developing impactful partnerships	
<b>19. Does the resource relate clearly to any international conventions (mark all that apply)?</b>	
Culture conventions:	
1952, 71 Protection of Copyright and Neighbouring Rights	
1954 Protection of Cultural Property in the Event of Armed Conflict	X
1970 Fighting Against the Illicit Trafficking of Cultural Property	X
1972 Protection of the World Cultural and Natural Heritage	
2001 Protection of the Underwater Cultural Heritage	
2003 Safeguarding of the Intangible Cultural Heritage	
2005 Protection and Promotion of the Diversity of Cultural Expressions	
Rio Conventions:	
Convention on Biological Diversity (CBD), Convention to Combat Desertification (UNCCD), Framework Convention on Climate Change (UNFCCC)	
<b>AIMS AND CONTENT</b>	
<b>20. What issues does the resource aim to address?</b>	<p><b>“The Evidence Paper aims to address the following question: “What are the conditions that facilitate corruption, what are its costs and what are the most effective ways to combat it?”</b></p> <p><b>Specifically, it asks:</b></p> <p><b>1. Under what political, social and economic conditions is corruption likely to thrive?</b></p> <p><b>2. What are the costs of corruption to the poor and to the state?</b></p> <p><b>a. Financial costs;</b></p> <p><b>b. Non-financial effects/impact;</b></p> <p><b>3. What anti-corruption interventions are effective and why?” (p.8)</b></p>
21. Intended audience of resource	People working on corruption, and on international development.
22. Process of development	“This study was commissioned by the Department for International Development (DFID) to assess the existing body of evidence on corruption. The resulting Evidence Paper aims to be an authoritative assessment of the literature on corruption. The study acts as a key source of synthesised knowledge for staff, helping inform policy narratives and programme design.” (p.8)

<p>23. Organisation/structure/contents</p>	<p>This paper is organised in six additional chapters, as follows:</p> <ul style="list-style-type: none"> <li>• Chapter 1 provides an overview of types of corruption.</li> <li>• Chapter 2 analyses the factors that facilitate corruption.</li> <li>• Chapter 3 looks at the gender dimensions of corruption.</li> <li>• Chapter 4 explores the effects of corruption, including financial and social costs as well as broader impacts.</li> <li>• Chapter 5 analyses the evidence base on a number of anti-corruption interventions.</li> <li>• Chapter 6 highlights some of the key insights and messages that emerge from the analysis undertaken in this study and identifies a few evidence gaps</li> </ul>
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**FRAMEWORKS**

<p>24. Framework structure</p>	<p>The headline messages (p.6-7) are provided below and can be considered as a framework. The resource says “It is important to read these headlines in the context of the full report to gain complete understanding of why and how the conclusions have been drawn”:</p> <p><b>What are the factors that facilitate corruption?</b></p> <ul style="list-style-type: none"> <li>• A variety of economic, political, administrative, social and cultural factors enable and foster corruption.</li> <li>• Corruption is collective rather than simply individual, going beyond private gain to encompass broader interests and benefits within political systems.</li> <li>• Corruption is a symptom of wider governance dynamics and is likely to thrive in conditions where accountability is weak and people have too much discretion.</li> <li>• It is this collective and systemic character of corruption that makes it so entrenched and difficult to address.</li> <li>• Democracy does not in itself lead to reduced corruption.</li> </ul> <p><b>What are the gender dimensions of corruption?</b></p> <ul style="list-style-type: none"> <li>• There is no conclusive evidence that women are less predisposed to corruption than men.</li> <li>• Greater participation of women in the political system and political processes is not a “magic bullet” to fight corruption.</li> </ul> <p><b>What are the effects of corruption on growth and broader development?</b></p>
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	<ul style="list-style-type: none"> <li>• The effect of corruption on macroeconomic growth remains contested, and corruption has not been a determining factor constraining growth.</li> <li>• Corruption has a negative effect on both inequality and the provision of basic services, so it affects poor people disproportionately.</li> <li>• Lack of trust, reduced legitimacy and lack of confidence in public institutions can be both a cause and an effect of corruption.</li> <li>• Corruption has a negative effect on domestic investment and tax revenues.</li> <li>• At the micro level, corruption imposes additional costs on growth for companies, especially in terms of their performance and productivity.</li> <li>• The relationship between corruption and fragility varies: it can be a source of conflict but has also been an important stabilising factor in some settings.</li> <li>• Corruption has negative consequences for the environment.</li> </ul> <p><b>What anti-corruption measures are effective?</b></p> <ul style="list-style-type: none"> <li>• Not all types of corruption are the same, therefore differing responses are needed depending on the context (one size does not fit all).</li> <li>• Anti-corruption measures are most effective when other contextual factors support them and when they are integrated into a broader package of institutional reforms.</li> <li>• Public financial management reforms are effective in reducing corruption.</li> <li>• In the right circumstances, supreme audit institutions, social accountability mechanisms and organised civil society can be effective in combating corruption.</li> </ul>
25. Relevant policy considerations	Yes
26. Resources for implementation identified	Yes
27. Specific assessment points/indicators/milestones/action plan for monitoring	Yes
<b>28. ASPECTS OF SUSTAINABILITY COVERED BY RESOURCE (mark all that apply)</b>	

People (social sustainability)	X
Planet (environmental sustainability)	X
Prosperity (economic sustainability)	X
Peace	X
Partnerships	X
<b>29. CROSS-CUTTING CONSIDERATIONS COVERED BY RESOURCE (mark all that apply)</b>	
Gender perspectives	X
North and South perspectives	X
<b>HOW THE RESOURCE CONTRIBUTES TO AGENDA 2030 AND THE SDGs</b>	
<b>HOW AGENDA 2030 AND THE SDGs FEATURE IN THE RESOURCE</b>	
30. SDGs and Agenda 2030 specifically mentioned?	No
31. SDGs specifically mentioned?	No
32. SDG targets specifically mentioned?	No
33. SDG indicators specifically mentioned?	No
<b>SDGs AND SDG TARGETS AND LINKAGES</b>	
34. Comments on SDG linkages	The resource is closely linked to SDG targets relating to effective, accountable institutions, including promoting the rule of law (SDG 16.3) and supporting laws and policies for sustainable development (SDG 16.B); these can help combat illicit trade in cultural property (part of SDG 16.4), and combating corruption through good governance (SDG 16.5); supporting the development of effective, accountable and transparent institutions (SDG 16.6); inclusive and representative decision-making (SDG 16.7). Being open and accountable supports SDG 16.10 (protect the right to information and fundamental freedoms). Effective policies and practices for inclusion support SDGs 5.1 (eliminate all forms of discrimination against women and girls) and 5.C (policies for gender equality) and 10.2 (universal social, political and economic inclusion) and 10.3 (remove discriminatory policies and practices). The focus on effective policies supports SDG 17.14 (policy coherence for sustainable development) as well as 17.16 (international multistakeholder partnerships) and 17.17 (cross-sector partnerships). Combatting the 'resource curse' in countries rich in natural resources can support, for example, SDG 11.4

	<p>(strengthen efforts to protect and safeguard cultural and natural heritage).</p> <p>The resource can help inform educational programmes for SDG 4.7 (Education for Sustainable Development) and staff awareness for SDG 12.6 (adopt sustainable practices and sustainability reporting).</p>
<b>35. SDGs and SDG targets the resource helps advance</b>	
<p><b>SDG 4. Ensure inclusive and equitable quality education and promote lifelong learning opportunities for all</b></p> <p>4.7 By 2030, ensure that all learners acquire the knowledge and skills needed to promote sustainable development, including, among others, through education for sustainable development and sustainable lifestyles, human rights, gender equality, promotion of a culture of peace and non-violence, global citizenship and appreciation of cultural diversity and of culture’s contribution to sustainable development</p>	<p>Numbers of people in each type of programme drawing on collections from different demographic groups.</p> <p>Increases in numbers of people in each type of programme from different demographic groups.</p> <p>Proportion of people involved in such programmes in relation to overall audience size.</p> <p>Evidence that learners have acquired knowledge and skills to promote sustainable development.</p>
<p><b>SDG 5. Achieve gender equality and empower all women and girls</b></p> <p>5.1 End all forms of discrimination against all women and girls everywhere</p>	<p>Number and proportion of programmes taking proactive steps to identify, reduce and remove discriminatory practices and processes relating to collections and collection-based institutions.</p>
<p><b>SDG 5. Achieve gender equality and empower all women and girls</b></p> <p>5.C Adopt and strengthen sound policies and enforceable legislation for the promotion of gender equality and the</p>	<p>Number and proportion of policies relating to collections and collections-based institutions that incorporate gender perspectives to promote gender equality and empowerment of all women and girls at all levels.</p>

<p>empowerment of all women and girls at all levels</p>	
<p><b>SDG 10. Reduce inequality within and between countries</b>  10.2 By 2030, empower and promote the social, economic and political inclusion of all, irrespective of age, sex, disability, race, ethnicity, origin, religion or economic or other status</p>	<p>Collections development to ensure that collections effectively meet the needs of all, irrespective of age, sex, disability, race, ethnicity, origin, religion or economic or other status.</p> <p>Numbers and proportions of people making use of collections in relation to the demographic of the local population</p> <p>Numbers and proportions of people involved in focused programmes aimed at promoting social, economic and political inclusion</p> <p>Numbers and proportions of people from different demographic groups involved in decision-making processes relating to collections and collections-based institutions</p> <p>Number and types of partnerships that build relationships with marginalized groups, individuals and communities.</p>
<p><b>SDG 10. Reduce inequality within and between countries</b>  10.3 Ensure equal opportunity and reduce inequalities of outcome, including by eliminating discriminatory laws, policies and practices and promoting appropriate legislation, policies and action in this regard</p>	<p>Identification of discriminatory policies and practices, with clear plans to address these, to ensure equal opportunity for all and reduce inequalities of outcome.</p>
<p><b>SDG 11. Make cities and human settlements inclusive, safe, resilient and sustainable</b>  11.4 Strengthen efforts to protect and safeguard the world's cultural and natural heritage</p>	<p><b><i>11.4.1 Total expenditure (public and private) per capita spent on the preservation, protection and conservation of all cultural and natural heritage, by type of heritage</i></b></p> <p>Plans, policies and procedures in place for the safe use of collections for a variety of purposes, protecting and safeguarding both collections and those who use them.</p> <p>Plans, policies and procedures in place for the identification, safeguarding and protection of cultural and natural heritage at risk.</p>



	<p>Collecting programmes in place to protect, safeguard and make use of cultural and natural heritage, addressing the needs of communities and stakeholders, and ensuring that collections can be an effective resource for sustainable development.</p> <p>Number and diversity of educational, awareness-raising, research programmes, and partnerships that aim to strengthen protection of cultural and natural heritage.</p>
<p><b>SDG 12 Ensure sustainable consumption and production patterns</b> 12.6 Encourage companies, especially large and transnational companies, to adopt sustainable practices and to integrate sustainability information into their reporting cycle</p>	<p>Clear visions, strategies and plans in place for all aspects of sustainability – environmental, social and economic (people, planet, prosperity)- across all areas of activity.</p> <p>Visions, strategies and plans relating to sustainability to be publicly available and incorporated into planning documents.</p> <p>Commitments to be in line with local, regional, national and/or international targets and ambitions.</p> <p>Incorporation of sustainability into reporting for funders and other stakeholders, including the public. Reporting to include commitments and progress towards targets.</p>
<p><b>SDG 16. Promote peaceful and inclusive societies for sustainable development, provide access to justice for all and build effective, accountable and inclusive institutions at all levels.</b> 16.3 Promote the rule of law at the national and international levels and ensure equal access to justice for all</p>	<p>Collections development that relates to the rule of law, equality before the law, and justice for all.</p> <p>Number of activities drawing on collections, for example educational, research and partnership activities, that promote the rule of law at national and international levels, and that promote a culture of lawfulness, and the right of all to justice.</p>
<p><b>SDG 16. Promote peaceful and inclusive societies for sustainable development, provide access to justice for all and build effective, accountable and inclusive institutions at all levels.</b> 16.4 By 2030, significantly reduce illicit financial and</p>	<p>Identification of stolen assets, return of stolen assets.</p> <p>Collections development, education, awareness-raising and partnership activities relating to organized crime, with a view to combatting organized crime everywhere.</p>

<p>arms flows, strengthen the recovery and return of stolen assets and combat all forms of organized crime</p>	
<p><b>SDG 16. Promote peaceful and inclusive societies for sustainable development, provide access to justice for all and build effective, accountable and inclusive institutions at all levels.</b> 16.5 Substantially reduce corruption and bribery</p>	<p>Policies and plans in place to ensure transparent decision-making, and reduce opportunity for corruption and bribery.</p> <p>Staff training in place to develop awareness of professional responsibilities in relation to corruption and bribery.</p> <p>Procedures in place to deal with any instances of corruption or bribery, in line with national considerations.</p> <p>Collections development, education, awareness-raising and partnership activities relating to corruption and bribery, with a view to combatting corruption and bribery everywhere.</p>
<p><b>SDG 16. Promote peaceful and inclusive societies for sustainable development, provide access to justice for all and build effective, accountable and inclusive institutions at all levels.</b> 16.6 Develop effective, accountable and transparent institutions at all levels</p>	<p><b><i>16.6.2 Proportion of the population [audience/users/non-users] satisfied with their last experience of public services</i></b> Access to information, and accountability policies and mechanisms, in place.</p> <p>Effective institutional arrangements, both for own working and for working in partnership with other sectors, in place.</p> <p>Plans and arrangements in place for extraordinary circumstances such as natural and human-caused disasters.</p> <p>Effective arrangements in place to fulfil legal and social obligations and responsibilities.</p> <p>Effective arrangements in place for transparent communication and reporting of institutional performance.</p> <p>Effective arrangements in place for transparent decision-making and accountability.</p>
<p><b>SDG 16. Promote peaceful and inclusive societies for sustainable development, provide access to justice for all and build effective,</b></p>	<p><b><i>16.7.1 Proportions of positions (by sex, age, persons with disabilities and population groups) in public institutions (national and local legislatures, public service, and judiciary) compared to national distributions</i></b></p>

<p><b>accountable and inclusive institutions at all levels.</b> 16.7 Ensure responsive, inclusive, participatory and representative decision-making at all levels</p>	<p><b><i>16.7.2 Proportion of population [audience/users/non-users] who believe decision-making is inclusive and responsive, by sex, age, disability and population group</i></b></p> <p>Decision-making addresses societal, environmental and economic challenges related to the community, considering short-term and long-term risks and opportunities.</p> <p>Decision-making draws on diverse backgrounds, viewpoints and interests, reflecting a broad base of stakeholders, and working to promote inclusion and provide effective services for all of society.</p>
<p><b>SDG 16. Promote peaceful and inclusive societies for sustainable development, provide access to justice for all and build effective, accountable and inclusive institutions at all levels.</b> 16.10 Ensure public access to information and protect fundamental freedoms, in accordance with national legislation and international agreements</p>	<p>Adopt and implement constitutional, statutory and/or policy guarantees for public access to information.</p> <p>Plans in place, and plans implemented to enhance public access to information relating to collections.</p> <p>Plans in place, and plans implemented to support fundamental freedoms, in line with human rights, national and international agreements and legislation.</p> <p>Plans and procedures in place for public access to information relating to the operation and management of collections-based institutions.</p> <p>Complaint mechanism in place for public to use where public access to information and fundamental freedoms not supported or fulfilled.</p>
<p><b>SDG 16. Promote peaceful and inclusive societies for sustainable development, provide access to justice for all and build effective, accountable and inclusive institutions at all levels.</b> 16.B Promote and enforce non-discriminatory laws and policies for sustainable development</p>	<p><b><i>16.B.1 Proportion of population [audience/users/non-users] reporting having personally felt discriminated against or harassed in the previous 12 months on the basis of a ground of discrimination prohibited under international human rights law</i></b></p> <p>Number and proportion of policies that incorporate sustainable development considerations, in the full sense of recognizing all three of social, economic and environmental considerations.</p>
<p><b>SDG 17. Partnerships for the goals</b></p>	<p>Proportion of policies that incorporate sustainable development considerations, linking to SDGs and targets.</p>

17.14 Enhance policy coherence for sustainable development		Incorporation of policy considerations from outside the collections sector into policies of collections-based institutions, to facilitate partnerships and effectiveness.			
<b>SDG 17. Partnerships for the goals</b> 17.16 Enhance the global partnership for sustainable development, complemented by multi-stakeholder partnerships that mobilize and share knowledge, expertise, technology and financial resources, to support the achievement of the sustainable development goals in all countries, in particular developing countries		Number and/or increase in number, and diversity of global and international multi-stakeholder partnerships that share collection-related knowledge, expertise, technology and financial resources to address the SDGs, or that otherwise involve collections-based organisations and institutions.  Number and/or increase in number, and diversity of global and international multi-stakeholder partnerships involving developing countries that share collection-related knowledge, expertise, technology and financial resources to address the SDGs.			
<b>SDG 17. Partnerships for the goals</b> 17.17 Encourage and promote effective public, public-private and civil society partnerships, building on the experience and resourcing strategies of partnerships		<b><i>17.17.1 Amount of United States dollars committed to public-private and civil society partnerships</i></b>  Number and/or increase in number, and diversity of local, national and regional multi-stakeholder (public, public-private and civil society) partnerships that address the SDGs drawing on collections, or that otherwise involve collections-based organisations and institutions.			
1	2	3	4	5	6
7	8	9	10	11	12
13	14	15	16	17	