FORMAT		
1. Name of resource	GUIDELINES FOR COMMUNITY PARTICIPA DISASTER RECOVERY	ATION IN
2. Location	https://www.preventionweb.net/publicat	ions/view/70664
3. Alternative location		
4. Author[s]	Monica Trujillo, Reshmi Theckethil, Ian King, Jacinda Fairholm and Mariana Iturrizaga	
<ol><li>Publisher/producer/ host</li></ol>	United Nations Development Programme	
6. Year	2020	
7. Suggested citation	Trujillo, M. and others (2020). Guidelines for Community Participation in Disaster Recover	
<ol><li>Languages in which available</li></ol>	English	
9. Geographic area resource relates to	Global	
10. Does the resource relate to a specific time frame?		
11. Type	Report	Yes
	Toolkit/Framework/Roadmap	Yes
	Sign-post to other resource (database)	Yes
	Case studies	Yes
	Other	
12. If this is part of an initiative, what is the initiative?	"One of the fundamental bases for comm participation in post-disaster recovery is t participate. An essential principle within thuman rights framework is that each and the right to participate in, contribute to an development in which human rights and f freedoms can be realized. This is reflected Nations Common Understanding on the H based Approach (HRBA), recognized in 20 Agencies of the United Nations system. The in disaster recovery implies that basic necessions and to transform those desired recovery actions and outcomes. For objective as well as a means of development exposure and vulnerability to disasters an relates to other inequalities rooted in uneand discrimination on the basis of gendering, and disability. The following are the rether the HBRA:  • Universality and indivisibility of human recognitions.	he human right to he international every person has and enjoy fundamental d in the United luman Rights-03 by the he HRBA applied ressary conditions is ability to make choices into Participation is an ent. People's d climate change even development a class, ethnicity, main principles of

	Participation and inclusion	
	Transparency	
	Empowerment	
	<ul> <li>Accountability of duty-bearers</li> </ul>	
	Rights enforcement mechanisms	
	These Guidelines build on and promo	te the above
	principles, and they are in line with th	e same vision of
	community participation and citizen e	
	recognized in the three landmark glob	~ ~
	in 2015: 1) The Sendai Framework fo	r Disaster Risk
	Reduction, 2) The Paris Climate Agree	
	Sustainable Development Goals (SDG:	
	information on these three agendas is	
	Guidelines).	
COLLECTIONS AND COLLECTI	,	
13. Explicit links to	No	
collections		
14. Explicit links to	No	
museums/libraries/a		
rchives		
15. Types of institutions	Museums	Х
the resource covers	Archives	Х
	Libraries	Х
	Other	Х
16. Does the resource	Arts, humanities and social X	1^
16. Does the resource relate to specific	Arts, humanities and social X sciences: philosophy,	17
relate to specific		
	sciences: philosophy,	
relate to specific	sciences: philosophy, psychology, religion, social	
relate to specific	sciences: philosophy, psychology, religion, social sciences, law, politics,	<u> </u>
relate to specific	sciences: philosophy, psychology, religion, social sciences, law, politics, language, arts and	
relate to specific	sciences: philosophy, psychology, religion, social sciences, law, politics, language, arts and recreation, architecture,	· ·
relate to specific	sciences: philosophy, psychology, religion, social sciences, law, politics, language, arts and recreation, architecture, literature, history,	
relate to specific	sciences: philosophy, psychology, religion, social sciences, law, politics, language, arts and recreation, architecture, literature, history, geography and ethnology,	
relate to specific	sciences: philosophy, psychology, religion, social sciences, law, politics, language, arts and recreation, architecture, literature, history, geography and ethnology, anthropology, archaeology	
relate to specific	sciences: philosophy, psychology, religion, social sciences, law, politics, language, arts and recreation, architecture, literature, history, geography and ethnology, anthropology, archaeology Science, natural history,	
relate to specific	sciences: philosophy, psychology, religion, social sciences, law, politics, language, arts and recreation, architecture, literature, history, geography and ethnology, anthropology, archaeology Science, natural history, technology, medicine,	
relate to specific disciplines?	sciences: philosophy, psychology, religion, social sciences, law, politics, language, arts and recreation, architecture, literature, history, geography and ethnology, anthropology, archaeology Science, natural history, technology, medicine, engineering, manufacturing	sed organisations
relate to specific disciplines?  17. If no explicit links to	sciences: philosophy, psychology, religion, social sciences, law, politics, language, arts and recreation, architecture, literature, history, geography and ethnology, anthropology, archaeology Science, natural history, technology, medicine, engineering, manufacturing The Guidelines can help collection-ba	sed organisations uction, rehabilitation
17. If no explicit links to collections,	sciences: philosophy, psychology, religion, social sciences, law, politics, language, arts and recreation, architecture, literature, history, geography and ethnology, anthropology, archaeology Science, natural history, technology, medicine, engineering, manufacturing The Guidelines can help collection-ba participate in, and facilitate, reconstru	sed organisations uction, rehabilitation
17. If no explicit links to collections, justification for	sciences: philosophy, psychology, religion, social sciences, law, politics, language, arts and recreation, architecture, literature, history, geography and ethnology, anthropology, archaeology Science, natural history, technology, medicine, engineering, manufacturing The Guidelines can help collection-ba participate in, and facilitate, reconstruand recovery following disasters, both communities'.	sed organisations uction, rehabilitation
17. If no explicit links to collections, justification for inclusion  HOW IT CONTRIBUTES TO SU	sciences: philosophy, psychology, religion, social sciences, law, politics, language, arts and recreation, architecture, literature, history, geography and ethnology, anthropology, archaeology Science, natural history, technology, medicine, engineering, manufacturing The Guidelines can help collection-ba participate in, and facilitate, reconstruand recovery following disasters, both communities'.	sed organisations uction, rehabilitation n their own and their
17. If no explicit links to collections, justification for inclusion  HOW IT CONTRIBUTES TO SU  18. Collections-related ac	sciences: philosophy, psychology, religion, social sciences, law, politics, language, arts and recreation, architecture, literature, history, geography and ethnology, anthropology, archaeology Science, natural history, technology, medicine, engineering, manufacturing The Guidelines can help collection-ba participate in, and facilitate, reconstruand recovery following disasters, both communities'.	sed organisations uction, rehabilitation n their own and their
17. If no explicit links to collections, justification for inclusion  HOW IT CONTRIBUTES TO SU  18. Collections-related ac Develop collections to protections	sciences: philosophy, psychology, religion, social sciences, law, politics, language, arts and recreation, architecture, literature, history, geography and ethnology, anthropology, archaeology  Science, natural history, technology, medicine, engineering, manufacturing  The Guidelines can help collection-ba participate in, and facilitate, reconstruand recovery following disasters, both communities'.  STAINABLE DEVELOPMENT  ctivities the resource relates to (mark a	sed organisations uction, rehabilitation n their own and their
17. If no explicit links to collections, justification for inclusion  HOW IT CONTRIBUTES TO SU  18. Collections-related ac Develop collections to protect natural heritage more effect.	sciences: philosophy, psychology, religion, social sciences, law, politics, language, arts and recreation, architecture, literature, history, geography and ethnology, anthropology, archaeology  Science, natural history, technology, medicine, engineering, manufacturing  The Guidelines can help collection-ba participate in, and facilitate, reconstrue and recovery following disasters, both communities'.  STAINABLE DEVELOPMENT ctivities the resource relates to (mark act and safeguard wider cultural and	sed organisations uction, rehabilitation n their own and their
17. If no explicit links to collections, justification for inclusion  HOW IT CONTRIBUTES TO SU  18. Collections-related ac Develop collections to protect natural heritage more effect.	sciences: philosophy, psychology, religion, social sciences, law, politics, language, arts and recreation, architecture, literature, history, geography and ethnology, anthropology, archaeology  Science, natural history, technology, medicine, engineering, manufacturing  The Guidelines can help collection-ba participate in, and facilitate, reconstrue and recovery following disasters, both communities'.  STAINABLE DEVELOPMENT ctivities the resource relates to (mark act and safeguard wider cultural and lively, for example by targeting as of heritage in strategic ways	sed organisations uction, rehabilitation n their own and their
17. If no explicit links to collections, justification for inclusion  HOW IT CONTRIBUTES TO SU  18. Collections-related ac Develop collections to protect natural heritage more effect collecting to threatened form  Use collections to promote leads	sciences: philosophy, psychology, religion, social sciences, law, politics, language, arts and recreation, architecture, literature, history, geography and ethnology, anthropology, archaeology  Science, natural history, technology, medicine, engineering, manufacturing  The Guidelines can help collection-ba participate in, and facilitate, reconstrue and recovery following disasters, both communities'.  STAINABLE DEVELOPMENT ctivities the resource relates to (mark act and safeguard wider cultural and lively, for example by targeting as of heritage in strategic ways	sed organisations uction, rehabilitation n their own and their

effectively, for example education for sustainable development	
and sustainable lifestyles, human rights, gender equality,	
promotion of a culture of peace and non-violence, global	
citizenship and appreciation of cultural diversity and of culture's	
contribution to sustainable development and/or skills development	
relating to collections	
Use collections to promote cultural participation/social inclusion	X
more effectively, for example by reducing barriers to participation,	
to ensure no-one is 'left behind'	
Use collections to promote sustainable tourism more effectively,	
for example by developing new products based on local cultural	
heritage, and/or considering the rights of stakeholder groups in	
relation to collections	
Use collections to support research that contributes to	
sustainable development (including all forms of personal and self-	
directed research at all levels that make use of stored collections)	
more effectively, for example by providing effective facilities,	
collections and information to meet researchers' needs	
Make decisions around collections that contribute to sustainable	Х
development more effectively	
i. employment (recruiting, staff training, staff safety)	X
ii. energy consumption, greenhouse gas emissions,	Х
reduction, monitoring and reporting	
iii. waste management and reduction of waste	Х
iv. transport (forms of transport, energy use)	
v. commercial activities including copyright and IP	
vi. governance and management	Х
vii. security, disaster preparedness and risk reduction	Χ
Direct external leadership, partnerships and collaborations	X
towards sustainable development more effectively, for example	
by developing impactful partnerships	
19. Does the resource relate clearly to any international conven-	tions (mark all that
apply)?	
Culture conventions:	
1952, 71 Protection of Copyright and Neighbouring Rights	
1954 Protection of Cultural Property in the Event of Armed Conflict	Х
1970 Fighting Against the Illicit Trafficking of Cultural Property	Х
1972 Protection of the World Cultural and Natural Heritage	Х
2001 Protection of the Underwater Cultural Heritage	Х
2003 Safeguarding of the Intangible Cultural Heritage	Х
2005 Protection and Promotion of the Diversity of Cultural	X
Expressions	
Rio Conventions:	
Convention on Biological Diversity (CBD), Convention to Combat	
Desertification (UNCCD), Framework Convention on Climate	
Change (UNFCCC)	
505 (5.11 555)	

AIMS AND CONTENT	
20. What issues does	"Post-disaster recovery processes are often centrally
the resource aim to	planned and implemented, and they sometimes follow a
address?	top-down approach that does not engage affected
	communities in their own recovery process. Given that
	post-disaster contexts are particularly difficult
	environments that can cause large-scale damage and
	human suffering, demanding speed in the delivery of
	humanitarian aid and recovery services, community
	participation can sometimes be perceived as an additional
	time-consuming process that adds even more to the
	challenge of dealing with a disaster. Experience shows,
	however, that recovery interventions can be
	inappropriate or ineffective when communities are not
	consulted and involved actively in the process." (p.5)
	consulted and involved actively in the process. (p.5)
	"Ultimately the aim [of the Guidelines] is to improve the
	quality of post-disaster recovery by promoting the active
	involvement of people and their communities, from the
	post-disaster needs assessment, to recovery planning,
	implementation, monitoring and evaluation.
	To achieve this, the Guidelines are designed to be
	practical and action-oriented, providing a variety of case
	studies that exemplify how community driven
	participatory approaches have been implemented across
	a number of countries facing disasters"
	a named of coammon tasing assessed
	[As collections-based institutions reach communities,
	large and small, through their activities, they can play a
	key role in facilitating participation of those communities
	in disaster recovery.]
21. Intended audience	"The Guidelines are intended primarily to assist
of resource	practitioners who participate in assessments, planning and
	implementation of disaster recovery programmes,
	including the national and international teams who engage
	in post disaster needs assessments (PDNAs) and who
	design Disaster Recovery Frameworks (DRFs)." (p.7)
22. Process of	
development	
23. Organisation/structu	Introduction
re/contents	Framework (see below, including case studies)
	Techniques for participatory consultations
	Additional case studies
	Useful resources
FRAMEWORKS	
24. Framework	(case studies are given for each element listed below)

structure	
structure	2. Machanisms for Community Participation (in disactor
	2. Mechanisms for Community Participation [in disaster
	recovery processes]
	2.1 The building blocks of participation
	2.2 Information and communication
	2.3 Participatory consultations
	2.4 Incentives to participation
	2.5 Integrating communities in decision-making processes
	2.6 Empowering Local Actors and Civil Society
	2.7 The Participation of Disadvantaged Groups
	3. Engaging Communities in Needs Assessment, Planning
	Implementation and Monitoring
	3.1 Needs assessments
	3.2 Participatory Recovery Planning
	3.3 Participatory Implementation
	3.4 Participatory Monitoring and Audits
	Annex 1. Techniques for Participatory Consultations
	Anned 2. Additional Case Studies
	Annex 3. Useful Resources
25. Relevant policy	Yes
considerations	
26. Resources for	Yes
implementation	
identified	
27. Specific assessment	Yes
points/indicators/mi	
lestones/action plan	
for monitoring	
	ABILITY COVERED BY RESOURCE (mark all that apply)
People (social	X
sustainability)	
Planet (environmental	Х
sustainability)	
Prosperity (economic	X
sustainability)	
Peace	X
Partnerships	X
·	SIDERATIONS COVERED BY RESOURCE (mark all that apply)
Gender perspectives	X
North and South	X
perspectives	^
•	IBUTES TO AGENDA 2030 AND THE SDGs
	E SDGs FEATURE IN THE RESOURCE
30. SDGs and Agenda	Yes
2030 specifically	

mentioned?	
31. SDGs specifically	No
mentioned?	
32. SDG targets	No
specifically	
mentioned?	
33. SDG indicators	No
specifically	
mentioned?	

#### **SDGs AND SDG TARGETS AND LINKAGES**

34. Comments on SDG linkages

Implementing these Guidelines helps implement the Sendai Framework for Disaster Risk Reduction and the SDGs, in two main ways:

1. In relation to SDGs and targets around Disaster Risk Reduction, including SDGs 1.5, 2.4, 3.D, 4.4, 4.7, 4.A, 6.6, 7.2, 7.3, 8.3, 8.5, 8.6, 8.8, 8.9, 9.1, 9.4, 9.5, 9.A, 11.4, 11.5, 11.7, 11.A, 11.B, 12.8, 13.1, 13.2, 13.3, 13.B, 14.2, 15.1, 15.2, 15.3, 15.4, 15.5, 15.6, 15.7, 15.8, 15.9, 16.4, 16.6, 16.7, 17.14, 17.16 and 17.17.

2. With particular attention to SDGs and targets particularly focused on participation, inclusion and effective and transparent institutions, notably SDGs 5.1, 5.5, 5.C and 10.2, 10.4, 11.3, 16.6, 16.7, 17.14, 17.16 and 17.17.

#### 35. SDGs and SDG targets the resource helps advance

#### SDG 1: End poverty in all its forms everywhere

**1.5** By 2030, build resilience of the poor and those in vulnerable situations and reduce their exposure and vulnerability to climaterelated extreme events and other economic, social and environmental shocks and disasters.

Number of collecting programmes that aim to build resilience to climate-related events and other shocks and disasters, for example by forming collections that can contribute to related educational and research programmes.

Number of educational programmes drawing on collections that incorporate resilience perspectives.

Number of targeted programmes drawing on collections that are aimed at vulnerable groups, to build their resilience to climate-related and other shocks and disasters.

Number of research programmes drawing on collections that are aimed at building resilience to climate-related and other shocks and disasters.

Strengthen the resilience of employees, communities and

suppliers by paying at a minimum the living wage and offering insurance to employees and their families, such as accident insurance; and by paying fair prices to all suppliers

## SDG 2: End hunger, achieve food security and improved nutrition and promote sustainable agriculture

2.4 By 2030, ensure sustainable food production systems to implement resilient agricultural practices that increase productivity and production, that help maintain ecosystems, that strengthen capacity for adaptation to climate change, extreme weather, drought, flooding and other disasters and that progressively improve land and soil quality.

Collections development related to sustainable food production where appropriate.

Number of educational and awareness-raising programmes incorporating sustainable food production perspectives, and that aim to reduce the impact of disasters on communities.

Number of targeted programmes that support those most exposed and vulnerable to disasters, whether locally or farther afield.

Number of research activities drawing on collections that relate to resilient agricultural practices, helping mitigate and adapt to climate change, flooding and other disasters.

Policies and plans in place to eliminate unsustainable food production from supply chains and in any food provision in collections-based institutions.

Number of partnership activities drawing on collections that contribute to Disaster Risk Reduction plans, supporting resilient agricultural practices, and helping mitigate and adapt to climate change, flooding and other disasters.

#### SDG 3: Ensure healthy lives and promote well-being for all at all ages

3.D Strengthen the capacity of all countries, in particular developing countries, for early warning, risk reduction and management of national and global health risks.

Number of collecting initiatives that aim to build capacity for risk reduction to national and global health risks, for example by storing and preserving collections that may be of use in post-disaster scenarios, or that can be used in early warning and risk communication activities.

Number of educational programmes incorporating perspectives on early warning, risk reduction and management of national and global health risks.

Number of awareness raising programmes that target marginalized and vulnerable groups most exposed to health risks.

Plans in place for early warning, risk reduction and

	management to national and global health risks.
	Relationships and partnerships in place for risk reduction and management in light of national and global health risks.
SDG 4. Ensure inclusive and equitable quality education and promote lifelong learning opportunities for all	Number of young people and adults in skills-development activities and programmes drawing on collections, for employment, decent jobs and entrepreneurship
4.4 By 2030, substantially increase the number of youth and adults who have	Increase in number of young people and adults in such programmes
relevant skills, including technical and vocational skills, for employment, decent jobs and	Number and proportion of staff who have received training in the last year, to better support their contribution to the SDGs.
entrepreneurship	Programs and processes in place to ensure the availability of a skilled workforce.
sDG 4. Ensure inclusive and equitable quality education and promote lifelong learning opportunities for all 4.7 By 2030, ensure that all learners acquire the knowledge and skills needed to promote sustainable development, including, among others, through education for sustainable development and sustainable lifestyles, human rights, gender equality, promotion of a culture of peace and nonviolence, global citizenship and appreciation of cultural diversity and of culture's contribution to sustainable development	Numbers of people in each type of programme drawing on collections from different demographic groups.  Increases in numbers of people in each type of programme from different demographic groups.  Proportion of people involved in such programmes in relation to overall audience size.  Evidence that learners have acquired knowledge and skills to promote sustainable development.
SDG 4. Ensure inclusive and equitable quality education	Number and proportion of education facilities that are
and promote lifelong	child, disability and gender sensitive.

Proportion of education facilities that provide safe, non-violent, inclusive and effective learning environments for all.
Number and type of initiatives to improve effectiveness of learning environments.
Support given to other education facilities to make them more inclusive and effective
Number and proportion of programmes taking proactive steps to identify, reduce and remove discriminatory practices and processes relating to collections and collection-based institutions.
Number and proportion of women included in programmes, partnerships and processes relating to collections and collection-based institutions at i. foundation/entry levels ii. intermediate levels iii. senior levels, including management, leadership and decision-making.
Number and proportion of policies relating to collections and collections-based institutions that incorporate gender perspectives to promote gender equality and empowerment of all women and girls at all levels.
Reductions in water use, increase in water recycling, preventing water shortages in vicinity.  Information on, programmes relating to, and partnerships relating to water-use drawing on collections and collections-based institutions in place, to support efficient use of water.

freshwater to address	
water scarcity and	
substantially reduce the	
number of people suffering	
from water scarcity	
SDG 6. Ensure availability	
and sustainable	Proportion of water-related ecosystems, including
management of water and	mountains, forests, wetlands, rivers, aquifers and lakes in a
sanitation for all	good ecological condition.
6.6 By 2020, protect and	
restore water-related	Information on, programmes relating to, and partnerships
ecosystems, including	relating to water-related ecosystems drawing on
mountains, forests,	collections and collections-based institutions in place, to
wetlands, rivers, aquifers	support protection of these as effective nature-based
and lakes	solutions and ecosystem services.
SDG 7. Ensure access to	
affordable, reliable,	
sustainable and modern	Proportion of energy that comes from renewable sources.
energy for all	
7.2 By 2030, increase	Date to achieve net zero, and milestones towards that
substantially the share of	date.
renewable energy in the	
global energy mix	
6007 5	
SDG 7. Ensure access to	Deduction in an array
affordable, reliable, sustainable and modern	Reduction in energy use.
	Ungrade of old equipment to mare efficient equipment
energy for all 7.3 By 2030, double the	Upgrade of old equipment to more efficient equipment.
global rate of improvement	Uptake of renewable sources of energy.
in energy efficiency	Optake of Teffewable Sources of effergy.
SDG 8. Promote sustained,	
inclusive and sustainable	Development-oriented policies in place for the range of
economic growth, full and	activities, or development-oriented considerations are
productive employment	included in other policies.
and decent work for all	,
8.3 Promote development-	Number of micro-, small- and medium-sized enterprises
oriented policies that	supported.
support productive	
activities, decent job	Number and proportion of formalised arrangements with
creation, entrepreneurship,	micro-, small- and medium-sized enterprises.
creativity and innovation,	
and encourage the	Total value of financial services or financial support
formalization and growth of	provided to micro-, small and medium-sized enterprises.
micro-, small- and medium-	

through access to financial services	
sDG 8. Promote sustained, inclusive and sustainable economic growth, full and productive employment and decent work for all 8.5 By 2030, achieve full and productive employment and decent work for all women and men, including for young people and persons with disabilities, and equal pay for work of equal value	Increase in number of people in full and productive employment relating to collections, through job creation and recruitment.  Increase in proportion of existing staff working with collections in productive employment.  Increase in number of men, women, young people and persons with disabilities in development and training programmes drawing on collections that support them in employment.  Removal of pay disparities by gender and/or other status for those working with collections.  Policies and plans in place to ensure that all suppliers and others in the supply chain are in decent and productive work.
SDG 8. Promote sustained, inclusive and sustainable economic growth, full and productive employment and decent work for all 8.6 By 2020, substantially reduce the proportion of youth not in employment, education or training	Number of young people in employment, education or training relating to collections.  Number of people in dedicated programmes relating to collections, who would otherwise not be in employment, education or training.
SDG 8. Promote sustained, inclusive and sustainable economic growth, full and productive employment and decent work for all 8.8 Protect labour rights and promote safe and secure working environments for all workers, including migrant workers, in particular women migrants, and those in precarious employment	Number and proportions of staff working with collections in safe and secure working environments.  Number of accidents and other health and safety incidents reported.  Training and support provided for staff to ensure their wellbeing, health and safety.  Education, awareness-raising and partnership programmes drawing on collections that address labour rights, notably those of migrant workers and others in precarious employment.

SDG 8. Promote sustained, inclusive and sustainable economic growth, full and productive employment	Reduction of numbers and proportions of staff on short-term or zero-hours contracts.  Fair pay policies and procedures in place to prevent exploitation.  Procurement policies that ensure that collecting institutions make use of people who are in decent employment, and that avoid exploitation throughout the supply chain.  Numbers of jobs created or supported that relate to sustainable tourism drawing on local products (e.g. craft producers).
and decent work for all 8.9 By 2030, devise and implement policies to promote sustainable tourism that creates jobs and promotes local culture and products	Develop and implement plans to reduce and remove negative impacts of tourism.  Numbers of activities and/or products drawing on local culture.  Value to artisans and source communities of activities and products drawing on local culture.
SDG 9. Build resilient infrastructure, promote inclusive and sustainable industrialization and foster innovation 9.1 Develop quality, reliable, sustainable and resilient infrastructure, including regional and transborder infrastructure, to support economic development and human well-being, with a focus on affordable and equitable access for all	Development of research-useful collections to support reliable, sustainable and resilient use by researchers and others.  Number and proportion of collections facilities and stores that support economic development and human wellbeing.  Number and proportion of collections facilities and stores that provide affordable and equitable access for all.  Investment in collections facilities.  Inclusion of collections information in regional and transborder initiatives, notably via digital access for discoverability.
SDG 9. Build resilient infrastructure, promote inclusive and sustainable	Number and proportion of collections facilities that:  1.make efficient use of resources, with an ongoing drive for

#### industrialization and foster innovation

9.4 By 2030, upgrade infrastructure and retrofit industries to make them sustainable, with increased resource-use efficiency and greater adoption of clean and environmentally sound technologies and industrial processes, with all countries taking action in accordance with their respective capabilities

efficiencies and reductions in energy use and waste of all forms.

- 2. use clean and environmentally sound technologies, including climate-friendly energy sources and materials, with an ongoing commitment to reduce greenhouse gas emissions and waste of all forms.
- 3. adopt and/or prioritise collections-related processes and practices to reduce greenhouse gas emissions and waste of all forms.

## SDG 9. Build resilient infrastructure, promote inclusive and sustainable industrialization

9.5 Enhance scientific research, upgrade the technological capabilities of industrial sectors in all countries, in particular developing countries, including, by 2030, encouraging innovation and substantially increasing the number of research and development workers per 1 million people and public and private research and development spending

Number and proportion of collections facilities that effectively support research and researchers.

Number and proportion of staff who are appropriately skilled to undertake and support collections-based research.

Expenditure on initiatives to enhance and upgrade collections facilities.

Numbers of staff engaged in to supporting and developing research use based on collections.

Number of initiatives to encourage innovation drawing on collections.

Increase in number of research and development workers per 1 million people.

Increase in public and private research and development spending being used to develop and make use of collections.

# SDG 9. Build resilient infrastructure, promote inclusive and sustainable industrialization and foster innovation

9.A Facilitate sustainable and resilient infrastructure development in developing

Plans in place to support development of sustainable and resilient infrastructure in developing countries through financial, technological and technical support, for example through:

- i. joint projects,
- ii. funding bids,
- iii. sharing equipment, collections and collections

countries through enhanced financial, technological and technical support to African countries, least developed countries, landlocked developing countries and small island developing States.

information as appropriate, iv. in order to support development of high-quality

iv. in order to support development of high-quality infrastructures for sustainable development, research and innovation.

#### SDG 10. Reduce inequality within and between countries

10.2 By 2030, empower and promote the social, economic and political inclusion of all, irrespective of age, sex, disability, race, ethnicity, origin, religion or economic or other status

Collections development to ensure that collections effectively meet the needs of all, irrespective of age, sex, disability, race, ethnicity, origin, religion or economic or other status.

Numbers and proportions of people making use of collections in relation to the demographic of the local population

Numbers and proportions of people involved in focused programmes aimed at promoting social, economic and political inclusion

Numbers and proportions of people from different demographic groups involved in decision-making processes relating to collections and collections-based institutions

Number and types of partnerships that build relationships with marginalized groups, individuals and communities.

#### SDG 10. Reduce inequality within and between countries

10.4 Adopt policies, especially fiscal, wage and social protection policies, and progressively achieve greater equality Number and proportion of policies that proactively address equality issues relating to fiscal, wage and social protection considerations.

# SDG 11. Make cities and human settlements inclusive, safe, resilient and sustainable

11.3 By 2030, enhance inclusive and sustainable urbanization and capacity for participatory, integrated

11.3.2 Proportion of cities with a direct participation structure of civil society in urban planning and management that operate regularly and democratically

Plans in place for collections-based institutions to facilitate the incorporation of communities' views into local planning and town management processes in a regular way.

and sustainable human
settlement planning and
management in all
countries

Plans in place for collections-based institutions to facilitate the incorporation of minorities' views into local planning and town management processes in a regular way.

## SDG 11. Make cities and human settlements inclusive, safe, resilient and sustainable

#### 11.4.1 Total expenditure (public and private) per capita spent on the preservation, protection and conservation of all cultural and natural heritage, by type of heritage

11.4 Strengthen efforts to protect and safeguard the world's cultural and natural heritage

Plans, policies and procedures in place for the safe use of collections for a variety of purposes, protecting and safeguarding both collections and those who use them.

Plans, policies and procedures in place for the identification, safeguarding and protection of cultural and natural heritage at risk.

Collecting programmes in place to protect, safeguard and make use of cultural and natural heritage, addressing the needs of communities and stakeholders, and ensuring that collections can be an effective resource for sustainable development.

Number and diversity of educational, awareness-raising, research programmes, and partnerships that aim to strengthen protection of cultural and natural heritage.

### SDG 11. Make cities and human settlements inclusive, safe, resilient and sustainable

Collections-based research that supports the understanding and management of disasters of all kinds.

11.5 By 2030, significantly reduce the number of deaths and the number of people affected and substantially decrease the direct economic losses relative to global gross domestic product caused by disasters, including water-related disasters, with a focus on protecting the poor and people in vulnerable situations

Plans in place for public education and awareness drawing on collections and collections-based institutions to reduce exposure and vulnerability to disasters of all kinds.

Plans in place to ensure collections-based institutions steadily work to reduce their contributions to disaster risk, for example by reducing pollution and waste of all kinds.

Plans in place to ensure collections-based institutions, and people related to them (including workers) are protected from economic losses as a result of disasters.

Plans in place to provide special support/protection to poor and vulnerable people and groups in and following disasters.

## SDG 11. Make cities and human settlements inclusive, safe, resilient and sustainable

11.7 By 2030, provide universal access to safe, inclusive and accessible, green and public spaces, in particular for women and children, older persons and persons with disabilities

Numbers of people accessing collecting institutions from different demographic groups, notably women, children, older people and persons with disabilities.

Increases in numbers of people accessing collecting institutions from different demographic groups.

Measures taken to remove barriers to access green and public spaces.

Extent of green space provided by collections institutions.

## SDG 11. Make cities and human settlements inclusive, safe, resilient and sustainable

11.A Support positive economic, social and environmental links between urban, peri-urban and rural areas by strengthening national and regional development planning

Considerations of regional economic, social and environmental trends and risks incorporated into collecting institutions' planning.

Participation in local and regional planning, to foster access to services, cultural participation, prevent exclusion, and support equitable urbanisation.

Ensure data collection and metrics can be useful for planning in conjunction with other sectors and between urban and rural areas.

Number of outreach and other activities that promote awareness and accessibility of collecting institutions in urban and rural areas, and that promote awareness of regional development plans and risks, with opportunities for people and communities to input into such plans.

## SDG 11. Make cities and human settlements inclusive, safe, resilient and sustainable

11.B By 2020, substantially increase the number of cities and human settlements adopting and implementing integrated policies and plans towards inclusion, resource efficiency, mitigation and adaptation to climate change, resilience to disasters, and develop and

## 11.B.1 Proportion of local governments that adopt and implement local disaster risk reduction strategies in line with the Sendai Framework for Disaster Risk Reduction 2015-2030a

Disaster Risk Reduction strategies and plans in place, in line with the Sendai Framework for Disaster Risk Reduction, to ensure collecting institutions and collections are factored into planning, and contribute effectively to Disaster Risk Reduction.

implement, in line with the	
Sendai Framework for	
Disaster Risk Reduction 2015-2030, holistic disaster	
risk management at all	
levels	
spanning sustainable consumption and production patterns 12.8 By 2030, ensure that people everywhere have the relevant information and awareness for sustainable development and lifestyles in harmony with nature	12.8.1 Extent to which (i) global citizenship education and (ii) education for sustainable development (including climate change education) are mainstreamed in (a) national education policies; (b) curricula; (c) teacher education; and (d) student assessment  Extent to which global citizenship education and education for sustainable development (including climate change education) are mainstreamed in formal, informal and nonformal education programmes and activities drawing on and related to collections.
SDG 13. Take urgent action to combat climate change and its impacts 13.1 Strengthen resilience and adaptive capacity to climate-related hazards and natural disasters in all countries	Plans in place for near and longer term to withstand and actively adapt to climate-related hazards and natural disasters.
SDG 13. Take urgent action to combat climate change and its impacts 13.2 Integrate climate change measures into national policies, strategies and planning	National organizations, institutions and networks relating to collections and collections-based institutions to have integrated climate change measures into policies, strategies and planning relating to collections and collections-based institutions, including funding and other resourcing considerations
SDG 13. Take urgent action to combat climate change and its impacts 13.3 Improve education, awareness-raising and human and institutional capacity on climate change mitigation, adaptation, impact reduction and early warning	Plans in place to enhance positive contributions to addressing climate change through use of collections. Plans in place to ensure collections, collections institutions and broader society can adapt effectively to climate change.  Plans in place for effective education and awareness raising on climate change mitigation, adaptation, impact reduction and early warning.  Plans in place to reduce negative contributions of
	collections-related functions, e.g. measuring greenhouse

	emissions with plans and targets in place to reduce them.
SDG 13. Take urgent action	
to combat climate change	
and its impacts	Number of initiatives (educational, awareness-raising,
13.B Promote mechanisms	research, and/or partnerships) drawing on collections and
for raising capacity for	collections-based institutions that build capacity for climate
effective climate change-	change planning and management in least developed
related planning and	countries and small island developing States.
management in least	
developed countries and	Number of targeted initiatives drawing on collections and
small island developing	collections-based institutions aimed at building capacity for
States, including focusing	women, youth and local and marginalized communities in
on women, youth and local	least developed countries and small island developing
and marginalized	States.
communities.	
SDG 14 Conserve and	
sustainably use the oceans,	
seas and marine resources	
for sustainable	
development	Proportion of marine and coastal areas in a good ecological
14.2 By 2020, sustainably	condition
manage and protect marine	
and coastal ecosystems to	Numbers of educational and awareness-raising
avoid significant adverse	programmes, research activities, and partnerships drawing
impacts, including by	on collections aiming to support protection of marine and
strengthening their	coastal areas.
resilience, and take action	
for their restoration in	
order to achieve healthy	
and productive oceans	
SDG 15 Protect, restore	
and promote sustainable	
use of terrestrial	
ecosystems, sustainably	Proportion of terrestrial and inland freshwater systems in a
manage forests, combat	good ecological condition.
desertification, and halt and reverse land	Information on programmes relating to collections
	Information on, programmes relating to, collections
degradation and halt	development, and partnerships relating to terrestrial and
biodiversity loss	freshwater systems drawing on collections in place, to
15.1 By 2020, ensure the conservation, restoration	support their protection and effective functioning.
and sustainable use of	
terrestrial and inland	
freshwater ecosystems and	
their services, in particular	
forests, wetlands,	
ioresis, wellands,	

and the second declarate to	
mountains and drylands, in	
line with obligations under	
international agreements	
SDG 15. Protect, restore	
and promote sustainable	
use of terrestrial	
ecosystems, sustainably	
manage forests, combat	Proportion of forests in a good ecological condition
desertification, and halt	Proportion of forests in a good ecological condition
and reverse land	Information on, programmes relating to, collections
degradation and halt	development, and partnerships relating to forests drawing
biodiversity loss	on collections in place, to support their protection and
15.2 By 2020, promote the	effective functioning.
implementation of	
sustainable management of	
all types of forests, halt	
deforestation, restore	
degraded forests and	
substantially increase	
afforestation and	
reforestation globally	
SDG 15. Protect, restore	
and promote sustainable	
use of terrestrial	
ecosystems, sustainably	Proportion of soils and land area in a good ecological
manage forests, combat	condition, with reference to desertification, drought and
desertification, and halt	floods.
and reverse land	
degradation and halt	Information on, programmes relating to, collections
biodiversity loss	development, and partnerships relating to soils and land
15.3 By 2030, combat	use (with reference to desertification, drought and floods)
desertification, restore	drawing on collections in place, to support their protection
degraded land and soil,	and effective functioning.
including land affected by	and effective functioning.
desertification, drought and	
floods, and strive to achieve	
a land degradation-neutral	
world	
SDG 15. Protect, restore	
and promote sustainable	Proportion of mountain ecosystems, including their
use of terrestrial	biodiversity, in a good ecological condition.
ecosystems, sustainably	
manage forests, combat	Information on, programmes relating to, collections
desertification, and halt	development, and partnerships relating to mountain
and reverse land	ecosystems and their biodiversity drawing on collections in
degradation and halt	place, to support their protection and effective functioning.
biodiversity loss	place, to support their protection and effective functioning.
biodiversity 1055	

manage forests, combat	supply chains.
ecosystems, sustainably	collections are protected against theft and do not enter
use of terrestrial	of protected species (for example ivory, rhino horn) in
and promote sustainable	Policies and plans in place to ensure objects and specimens
SDG 15 Protect, restore	
agreed	
resources, as internationally	
appropriate access to such	
resources and promote	
utilization of genetic	
benefits arising from the	relevant policies, plans and agreements, in place.
equitable sharing of the	relevant policies, plans and agreements, in place.
<b>biodiversity loss</b> 15.6 Promote fair and	Effective communication and dissemination methods in place to promote access to resources, and access to
degradation and halt	Effective communication and discomination mathedair
and reverse land	collections, gene banks, tissue banks, seed banks).
desertification, and halt	the Nagoya Protocol (notably relating to natural history
manage forests, combat	benefit sharing relating to use of collections, in line with
ecosystems, sustainably	Policies, plans and agreements in place for access and
use of terrestrial	
and promote sustainable	
SDG 15 Protect, restore	
species	
extinction of threatened	
protect and prevent the	
biodiversity and, by 2020,	space associated with collections institutions.
habitats, halt the loss of	Measures taken to enhance biodiversity value of green
the degradation of natural	
significant action to reduce	protection and continued existence.
15.5 Take urgent and	species drawing on collections in place, to support their
biodiversity loss	development, and partnerships relating to habitats and
degradation and halt	Information on, programmes relating to, collections
and reverse land	
desertification, and halt	endangered species.
manage forests, combat	with special reference to locally, nationally and globally
ecosystems, sustainably	habitats, and species with favourable conservation status,
use of terrestrial	Number and proportion of habitats, notably endangered
and promote sustainable	
SDG 15 Protect, restore	
development	
essential for sustainable	
provide benefits that are	
enhance their capacity to	
biodiversity, in order to	
ecosystems, including their	
conservation of mountain	
15.4 By 2030, ensure the	

#### desertification, and halt and reverse land degradation and halt biodiversity loss

15.7 Take urgent action to end poaching and trafficking of protected species of flora and fauna and address both demand and supply of illegal wildlife products Policies and plans in place to ensure that objects and specimens are only acquired in line with national and international legislation, or with legal dispensation.

Information on, programmes relating to, collections development, and partnerships relating to poached and trafficked species, notably protected and endangered species, to end poaching and trafficking.

# SDG 15 Protect, restore and promote sustainable use of terrestrial ecosystems, sustainably manage forests, combat desertification, and halt and reverse land degradation and halt biodiversity loss 15.8 By 2020, introduce

and reverse land
degradation and halt
biodiversity loss
15.8 By 2020, introduce
measures to prevent the
introduction and
significantly reduce the
impact of invasive alien
species on land and water
ecosystems and control or
eradicate the priority
species

Number and proportion of invasive alien species for which effective measures are in place to reduce their impact on land and water ecosystems.

Information on, programmes relating to, collections development, and partnerships relating to invasive alien species, to support their effective management, and to prevent spread and impact.

# SDG 15 Protect, restore and promote sustainable use of terrestrial ecosystems, sustainably manage forests, combat desertification, and halt and reverse land degradation and halt biodiversity loss 15.9 By 2020, integrate

ecosystem and biodiversity values into national and local planning, development processes, poverty reduction strategies and accounts

Proportion and number of relevant plans, processes and strategies that incorporate ecosystem and biodiversity values, and their relationships with effective collections.

Plans in place to ensure that development of collections facilities contributes to effective conservation and restoration of terrestrial ecosystems.

sDG 16. Promote peaceful and inclusive societies for sustainable development, provide access to justice for all and build effective, accountable and inclusive institutions at all levels.

16.4 By 2030, significantly reduce illicit financial and arms flows, strengthen the recovery and return of stolen assets and combat all forms of organized crime

Identification of stolen assets, return of stolen assets.

Collections development, education, awareness-raising and partnership activities relating to organized crime, with a view to combatting organized crime everywhere.

SDG 16. Promote peaceful and inclusive societies for sustainable development, provide access to justice for all and build effective, accountable and inclusive institutions at all levels.

16.6 Develop effective, accountable and transparent institutions at all levels

**16.6.2** Proportion of the population [audience/users/non-users] satisfied with their last experience of public services Access to information, and accountability policies and mechanisms, in place.

Effective institutional arrangements, both for own working and for working in partnership with other sectors, in place.

Plans and arrangements in place for extraordinary circumstances such as natural and human-caused disasters.

Effective arrangements in place to fulfil legal and social obligations and responsibilities.

Effective arrangements in place for transparent communication and reporting of institutional performance.

Effective arrangements in place for transparent decision-making and accountability.

sDG 16. Promote peaceful and inclusive societies for sustainable development, provide access to justice for all and build effective, accountable and inclusive institutions at all levels.

16.7 Ensure responsive, inclusive, participatory and representative decisionmaking at all levels

16.7.1 Proportions of positions (by sex, age, persons with disabilities and population groups) in public institutions (national and local legislatures, public service, and judiciary) compared to national distributions
16.7.2 Proportion of population [audience/users/non-users] who believe decision-making is inclusive and responsive, by sex, age, disability and population group

Decision-making addresses societal, environmental and economic challenges related to the community, considering short-term and long-term risks and opportunities.

1     2       7     8       13     14	3       4       5       6         9       10       11       12         15       16       17
SDG 17. Partnerships for the goals 17.17 Encourage and promote effective public, public-private and civil society partnerships, building on the experience and resourcing strategies of partnerships	17.17.1 Amount of United States dollars committed to public-private and civil society partnerships  Number and/or increase in number, and diversity of local, national and regional multi-stakeholder (public, public-private and civil society) partnerships that address the SDGs drawing on collections, or that otherwise involve collections-based organisations and institutions.
SDG 17. Partnerships for the goals 17.16 Enhance the global partnership for sustainable development, complemented by multistakeholder partnerships that mobilize and share knowledge, expertise, technology and financial resources, to support the achievement of the sustainable development goals in all countries, in particular developing countries	Number and/or increase in number, and diversity of global and international multi-stakeholder partnerships that share collection-related knowledge, expertise, technology and financial resources to address the SDGs, or that otherwise involve collections-based organisations and institutions.  Number and/or increase in number, and diversity of global and international multi-stakeholder partnerships involving developing countries that share collection-related knowledge, expertise, technology and financial resources to address the SDGs
SDG 17. Partnerships for the goals 17.14 Enhance policy coherence for sustainable development	Proportion of policies that incorporate sustainable development considerations, linking to SDGs and targets.  Incorporation of policy considerations from outside the collections sector into policies of collections-based institutions, to facilitate partnerships and effectiveness.
	Decision-making draws on diverse backgrounds, viewpoints and interests, reflecting a broad base of stakeholders, and working to promote inclusion and provide effective services for all of society.