

FORMAT		
1. Name of resource	Access and Benefit-Sharing (Nagoya Protocol and the CBD)	
2. Location	https://spnhc.biowikifarm.net/wiki/Access and Benefit-Sharing (Nagoya Protocol and the CBD)#Example Agreements and Policies Related to ABS	
3. Alternative location		
4. Author[s]	Kate Davis, Dirk Neumann, Breda Zimkus	
5. Publisher/producer/host	Society for the Preservation of Natural History Collections (SPNHC)	
6. Year	2016	
7. Suggested citation	Davis, K., Neumann, D. and B. Zimkus (2016). Access and Benefit Sharing (Nagoya Protocol and the CBD). SPNHC Wiki, available at https://spnhc.biowikifarm.net/wiki/Access and Benefit-Sharing (Nagoya Protocol and the CBD)#Example Agreements and Policies Related to ABS.	
8. Languages in which available	English	
9. Geographic area resource relates to	Global	
10. Does the resource relate to a specific time frame?		
11. Type	Report	Yes
	Toolkit/Framework/Roadmap	Yes
	Sign-post to other resource (database)	Yes
	Case studies	
12. Part of an initiative?	<p>The Society for the Preservation of Natural History Collections (SPNHC) is an international society whose mission is to improve the preservation, conservation, and management of natural history collections to ensure their continuing value to society. This wiki was created to foster that mission by allowing an open forum for museum professionals to access, add, and comment on documents, procedures, and practices related to natural history collections care. More information about SPNHC can be found on our website www.spnhc.org</p> <p>The wiki was designed to allow easy access to information for members of our community. Viewing of most documents is open to the general public but access to edit or comment on documents will require an account. Navigation around the wiki leads to documents on conservation, best practices and committee reports for example. A handy navigation matrix can be found below. In</p>	

	some cases, we understand that the best information is already available on another site and links redirect there. Additional content can be found at our SPNHC YouTube Channel.	
COLLECTIONS AND COLLECTIONS-BASED INSTITUTIONS		
13. Explicit links to collections	Yes	
14. Explicit links to museums/libraries/archives	Yes	
15. Types of institutions relevant to	Museums	X
	Archives	
	Libraries	
	Other	
16. Does the resource relate to specific disciplines?	Arts, humanities and social sciences: philosophy, psychology, religion, social sciences, law, politics, language, arts and recreation, architecture, literature, history, geography and ethnology, anthropology, archaeology	
	Science, natural history, technology, medicine, engineering, manufacturing	X
17. If no explicit links to collections, justification for inclusion		
HOW IT CONTRIBUTES TO SUSTAINABLE DEVELOPMENT		
18. Collections-related activities the resource relates to (mark all that apply)		
Develop collections to protect and safeguard wider cultural and natural heritage more effectively, for example by targeting collecting to threatened forms of heritage in strategic ways	X	
Use collections to promote learning and educational opportunities that contribute to sustainable development more effectively, for example education for sustainable development and sustainable lifestyles, human rights, gender equality, promotion of a culture of peace and non-violence, global citizenship and appreciation of cultural diversity and of culture's contribution to sustainable development and/or skills development relating to collections		
Use collections to promote cultural participation/social inclusion more effectively, for example by reducing barriers to participation, to ensure no-one is 'left behind'		
Use collections to promote sustainable tourism more effectively,		

for example by developing new products based on local cultural heritage, and/or considering the rights of stakeholder groups in relation to collections		
Use collections to support research that contributes to sustainable development (including all forms of personal and self-directed research at all levels that make use of stored collections) more effectively, for example by providing effective facilities, collections and information to meet researchers' needs		X
Make decisions around collections that contribute to sustainable development more effectively		X
i.	employment (recruiting, staff training, staff safety)	
ii.	energy consumption, greenhouse gas emissions, reduction, monitoring and reporting	
iii.	waste management and reduction of waste	
iv.	transport (forms of transport, energy use)	
v.	commercial activities including copyright and IP	X
vi.	governance and management	X
vii.	security, disaster preparedness and risk reduction	
Direct external leadership, partnerships and collaborations towards sustainable development more effectively , for example by developing impactful partnerships		
19. Does the resource relate clearly to any international conventions (mark all that apply)?		
Culture conventions:		
1952, 71 Protection of Copyright and Neighbouring Rights		
1954 Protection of Cultural Property in the Event of Armed Conflict		
1970 Fighting Against the Illicit Trafficking of Cultural Property		
1972 Protection of the World Cultural and Natural Heritage		
2001 Protection of the Underwater Cultural Heritage		
2003 Safeguarding of the Intangible Cultural Heritage		
2005 Protection and Promotion of the Diversity of Cultural Expressions		
Rio Conventions:		
Convention on Biological Diversity (CBD), Convention to Combat Desertification (UNCCD), Framework Convention on Climate Change (UNFCCC)		X
AIMS AND CONTENT		
20. What issues does the resource aim to address?	"The CBD [Convention on Biological Diversity], which came into force 29 December 1993, reaffirmed that States hold sovereign rights over their biological resources, in accordance with the Charter of the United Nations and the principles of international law. Countries have the sovereign right to regulate or grant free access to Genetic Resources (GR) occurring inside their national territory (i.e., they determine who can collect biological material that contains genetic resources and what users are	

	<p>allowed to do with it). The CBD has three objectives:</p> <p>Conservation of biological diversity Sustainable use of its components Fair and equitable sharing of benefits arising out of the utilization of genetic resources</p> <p>The NP [Nagoya Protocol] was developed to implement legal measures in user countries, mainly to ensure compliance with the third CBD objective, fair and equitable benefit-sharing. It establishes a legal framework for access to genetic resources and benefit-sharing (ABS): it requires countries to clarify access procedures, to share benefits that arise from utilization of GR, and to ensure that users comply with provider country ABS laws. The NP came into force on 12 October 2014.</p> <p>Natural history collections are affected by the CBD, the NP and all of the various national ABS laws that have been developed in response to them. Associations such as SPNHC have an important role to play in helping institutions, curators and researchers understand the issues and their obligations, and can share guidance, codes of conduct, model agreements and experience.”</p>
<p>21. Intended audience of resource</p>	<p>[Museums with natural history collections and that undertake natural history collecting]</p>
<p>22. Process of development</p>	
<p>23. Organisation/structure/contents</p>	<ol style="list-style-type: none"> 1 Statement of Purpose 2 Contributors 3 How are the Convention on Biological Diversity and the Nagoya Protocol Connected? 4 Scope 5 The Nagoya Protocol In Brief 6 ABS Clearing-House 7 National Focal Points and Competent National Authorities 8 Access Obligations 9 Benefit-sharing Obligations <ol style="list-style-type: none"> 9.1 Monetary and Non-monetary Benefits 10 Compliance Obligations 11 Monitoring User Compliance 12 Guidance for Compliance: Codes of Conduct, Guidelines and Best Practice and/or Standards 13 Harmonizing Documents: Model Contractual Clauses 14 Glossary of Terms and Abbreviations 15 Convention on Biological Diversity and Nagoya Protocol texts 16 Links

	16.1 ABS Information and Explanatory Guidelines 16.2 Community Standards 16.3 Example Agreements and Policies Related to ABS 16.4 Conference Presentations 16.5 Further Reading 16.6 SPNHC Positions on Digital Sequence Information
FRAMEWORKS	
24. Framework structure	
25. Relevant policy considerations	Yes
26. Resources for implementation identified	Yes
27. Specific assessment points/indicators/milestones/action plan for monitoring	Yes
28. ASPECTS OF SUSTAINABILITY COVERED BY RESOURCE (mark all that apply)	
People (social sustainability)	X
Planet (environmental sustainability)	
Prosperity (economic sustainability)	X
Peace	X
Partnerships	X
29. CROSS-CUTTING CONSIDERATIONS COVERED BY RESOURCE (mark all that apply)	
Gender perspectives	N
North and South perspectives	Y
HOW THE RESOURCE CONTRIBUTES TO AGENDA 2030 AND THE SDGs	
HOW AGENDA 2030 AND THE SDGs FEATURE IN THE RESOURCE	
30. SDGs and Agenda 2030 specifically mentioned?	No
31. SDGs specifically mentioned?	No
32. SDG targets specifically mentioned?	No
33. SDG indicators specifically mentioned?	No
SDGs AND SDG TARGETS AND LINKAGES	
34. Comments on SDG	Access and benefits sharing of genetic resources and

linkages	traditional knowledge is the subject of two SDG targets, SDGs 2.5 and 15.6. These are also related to SDG 1.4 (equal access to property including heritage), 11.4 (strengthen efforts to protect and safeguard cultural and natural heritage) and SDG 16.3 (upholding the rule of law), and 16.6 (transparent, effective and accountable institutions), 16.10 (access to information and protecting fundamental freedoms, which includes property rights)) and 16.B (promote laws and policies for sustainable development), which also support SDGs 17.16 and 17.17 (multistakeholder partnerships internationally and nationally).
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35. SDGs and SDG targets the resource helps advance

<p>SDG 1: End poverty in all its forms everywhere 1.4 By 2030, ensure that all men and women, in particular the poor and the vulnerable, have equal rights to economic resources, as well as access to basic services, ownership and control over land and other forms of property, inheritance, natural resources, appropriate new technology and financial services, including microfinance</p>	<p>Numbers and proportions of people from particular groups using collections in comparison with demographics in broader society.</p> <p>Numbers of people accessing collections.</p> <p>Number of targeted programmes that aim to enhance access to collections by disadvantaged groups.</p> <p>Sustainable tourism that enhances local communities' access to basic services, ownership and control over land and other forms of property (including cultural and natural heritage), as well as to technology and markets.</p> <p>Involvement of people from disadvantaged groups in decision-making activities and processes relating to collections and collections-based institutions.</p>
<p>SDG 2: End hunger, achieve food security and improved nutrition and promote sustainable agriculture 2.5 By 2020, maintain the genetic diversity of seeds, cultivated plants and farmed and domesticated animals and their related wild species, including through soundly managed and diversified seed and plant banks at the national, regional and international levels, and promote access to and fair and equitable</p>	<p>Collections development related to genetic diversity of seeds, cultivated plants, farmed and domesticated animals (notably of local or at-risk varieties) and related wild species, for example in herbaria, museums, seed and gene banks, and seed libraries.</p> <p>Number of educational programmes related to genetic diversity of domesticated plants, animals and wild relatives.</p> <p>Number of educational programmes related to fair and equitable benefits of use of genetic resources and associated traditional knowledge, following international agreements (e.g. Nagoya Protocol).</p> <p>Number of educational and awareness programmes for</p>

<p>sharing of benefits arising from the utilization of genetic resources and associated traditional knowledge, as internationally agreed</p>	<p>people to ensure that they are aware of their rights and protections in terms of traditional knowledge, and exploitation of genetic resources.</p> <p>Number of research activities that help understand traditional knowledge, and genetic diversity of crop plants and animals.</p> <p>Ensure that producers of crop plants and animals are fairly compensated.</p> <p>Policies and procedures in place to ensure legal compliance with fair and equitable benefits of use of genetic resources and associated traditional knowledge, following international agreements (e.g. Nagoya Protocol).</p> <p>Number of partnerships at national, regional and international levels, as appropriate, to soundly manage seed and plant banks.</p>
<p>SDG 11. Make cities and human settlements inclusive, safe, resilient and sustainable 11.4 Strengthen efforts to protect and safeguard the world's cultural and natural heritage</p>	<p><i>11.4.1 Total expenditure (public and private) per capita spent on the preservation, protection and conservation of all cultural and natural heritage, by type of heritage</i></p> <p>Plans, policies and procedures in place for the safe use of collections for a variety of purposes, protecting and safeguarding both collections and those who use them.</p> <p>Plans, policies and procedures in place for the identification, safeguarding and protection of cultural and natural heritage at risk.</p> <p>Collecting programmes in place to protect, safeguard and make use of cultural and natural heritage, addressing the needs of communities and stakeholders, and ensuring that collections can be an effective resource for sustainable development.</p> <p>Number and diversity of educational, awareness-raising, research programmes, and partnerships that aim to strengthen protection of cultural and natural heritage.</p>
<p>SDG 15 Protect, restore and promote sustainable use of terrestrial ecosystems, sustainably</p>	<p>Policies, plans and agreements in place for access and benefit sharing relating to use of collections, in line with the Nagoya Protocol (notably relating to natural history</p>

<p>manage forests, combat desertification, and halt and reverse land degradation and halt biodiversity loss</p> <p>15.6 Promote fair and equitable sharing of the benefits arising from the utilization of genetic resources and promote appropriate access to such resources, as internationally agreed</p>	<p>collections, gene banks, tissue banks, seed banks).</p> <p>Effective communication and dissemination methods in place to promote access to resources, and access to relevant policies, plans and agreements, in place.</p>
<p>SDG 16. Promote peaceful and inclusive societies for sustainable development, provide access to justice for all and build effective, accountable and inclusive institutions at all levels.</p> <p>16.3 Promote the rule of law at the national and international levels and ensure equal access to justice for all</p>	<p>Collections development that relates to the rule of law, equality before the law, and justice for all.</p> <p>Number of activities drawing on collections, for example educational, research and partnership activities, that promote the rule of law at national and international levels, and that promote a culture of lawfulness, and the right of all to justice.</p>
<p>SDG 16. Promote peaceful and inclusive societies for sustainable development, provide access to justice for all and build effective, accountable and inclusive institutions at all levels.</p> <p>16.6 Develop effective, accountable and transparent institutions at all levels</p>	<p>16.6.2 Proportion of the population [audience/users/non-users] satisfied with their last experience of public services</p> <p>Access to information, and accountability policies and mechanisms, in place.</p> <p>Effective institutional arrangements, both for own working and for working in partnership with other sectors, in place.</p> <p>Plans and arrangements in place for extraordinary circumstances such as natural and human-caused disasters.</p> <p>Effective arrangements in place to fulfil legal and social obligations and responsibilities.</p> <p>Effective arrangements in place for transparent communication and reporting of institutional performance.</p> <p>Effective arrangements in place for transparent decision-making and accountability.</p>

<p>SDG 16. Promote peaceful and inclusive societies for sustainable development, provide access to justice for all and build effective, accountable and inclusive institutions at all levels.</p> <p>16.10 Ensure public access to information and protect fundamental freedoms, in accordance with national legislation and international agreements</p>	<p>Adopt and implement constitutional, statutory and/or policy guarantees for public access to information.</p> <p>Plans in place, and plans implemented to enhance public access to information relating to collections.</p> <p>Plans in place, and plans implemented to support fundamental freedoms, in line with human rights, national and international agreements and legislation.</p> <p>Plans and procedures in place for public access to information relating to the operation and management of collections-based institutions.</p> <p>Complaint mechanism in place for public to use where public access to information and fundamental freedoms not supported or fulfilled.</p>
<p>SDG 16. Promote peaceful and inclusive societies for sustainable development, provide access to justice for all and build effective, accountable and inclusive institutions at all levels.</p> <p>16.B Promote and enforce non-discriminatory laws and policies for sustainable development</p>	<p><i>16.B.1 Proportion of population [audience/users/non-users] reporting having personally felt discriminated against or harassed in the previous 12 months on the basis of a ground of discrimination prohibited under international human rights law</i></p> <p>Number and proportion of policies that incorporate sustainable development considerations, in the full sense of recognizing all three of social, economic and environmental considerations.</p>
<p>SDG 17. Partnerships for the goals</p> <p>17.16 Enhance the global partnership for sustainable development, complemented by multi-stakeholder partnerships that mobilize and share knowledge, expertise, technology and financial resources, to support the achievement of the sustainable development goals in all countries, in particular developing countries</p>	<p>Number and/or increase in number, and diversity of global and international multi-stakeholder partnerships that share collection-related knowledge, expertise, technology and financial resources to address the SDGs, or that otherwise involve collections-based organisations and institutions.</p> <p>Number and/or increase in number, and diversity of global and international multi-stakeholder partnerships involving developing countries that share collection-related knowledge, expertise, technology and financial resources to address the SDGs.</p>

SDG 17. Partnerships for the goals 17.17 Encourage and promote effective public, public-private and civil society partnerships, building on the experience and resourcing strategies of partnerships		17.17.1 Amount of United States dollars committed to public-private and civil society partnerships Number and/or increase in number, and diversity of local, national and regional multi-stakeholder (public, public-private and civil society) partnerships that address the SDGs drawing on collections, or that otherwise involve collections-based organisations and institutions.			
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13	14	15	16	17	